

PTO/SB/21 (02-04)

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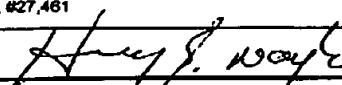
TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>		Application Number	10/018,353
		Filing Date	December 11, 2001
		First Named Inventor	R. Terry K. Baker
		Art Unit	1754
		Examiner Name	Stuart L. Hendrickson
Total Number of Pages in This Submission		Attorney Docket Number	
		17482-4	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
Amendment and Request to Add Name of Inventor of Invention Originally Disclosed and now being Claimed (37 C.F.R. 1.48(A) - Nonprovisional Application (2 pages); Statement of R. Terry K. Baker (2 pages); Statement of Hailou Wang (1 page).		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Henry E. Naylor Kean, Miller, Hawthorne, D'Armond, McCowan & Jarman, L.L.P.
Signature	
Date	11/09/2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Henry E. Naylor, #27,461
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Date	11/09/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
R. Terry K. Baker et al.) Art Unit: 1754
Serial No. 10/015,353) Before the Examiner:
Filed: December 11, 2001) Stuart Hendrickson
Title: Preparation of Multifacted Graphite Nanotubes

MAIL STOP AMENDMENT
Commissioner for Patents
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Alexandria, VA 22313-1450

**AMENDMENT AND REQUEST TO ADD NAME OF INVENTOR OF
INVENTION ORIGINALLY DISCLOSED AND NOW BEING CLAIMED (37
C.F.R. 1.48(A) – NONPROVISIONAL APPLICATION**

1.

This amendment and request is to correct the incorrect original naming of inventors in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on December 11, 2001.

2.

The following previously unnamed person is to be added as inventor of this application:

Haiyou Wang

3.

Attached is

(1) a statement from Dr. R. Terry K. Baker, one of the actual inventors, attesting that the error in inventorship occurred without deceptive intention on his part (37 C.F.R. § 1.48(a)(1); and

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(2) a statement from Haiyou Wang, the person being added as an inventor, that the error in inventorship occurred without deceptive intention on his part (37 C.F.R. § 1.48(a)(1).

4.

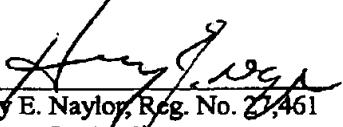
If there is a required fee, please pay as follows:

Authorization is hereby made to charge any required fee for filing this Amendment and Request to Add Name of Inventor to Deposit Account No. 11-0245.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Respectfully submitted,

By 
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